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PATENT Customer No. 22,852 Attorney Docket No. 05997.0019-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Application of:) \
Ross H. CORNELL)) Group Art Unit: 3624
Application No.: 09/664,403)) Examiner: Dr. Geoffrey R. Akers, P.E.
Filed: September 18, 2000))
For: DATA PROCESSING SYSTEMS AND METHOD FOR CREATING EFFICIENT FLOATER CLASSES)))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

RESPONSE TO RESTRICTION REQUIREMENT

In reply to the Restriction Requirement dated November 16, 2004, the period of response to which ends December 16, 2004, without extensions, Applicant provisionally elects Group I with traverse.

REMARKS

In a restriction requirement dated November 16, 2004, the Examiner required restriction under 35 U.S.C. § 121 between:

Group I, claims 7-10, 27-30, and 45-48, characterized by the Examiner as drawn to a data processing system, a system, and a method for a risk analysis, development, and creation/administration of a structured security;

Group II, claims 63-70 and 31-44, characterized by the Examiner as drawn to a product and method relating to a structured security; and